## MEMORANDUM OF AGREEMENT

#### between the

## UNION PACIFIC RAILROAD

## and the

## SMART - TD (IGN Territory)

## **Claims Handling**

This Agreement provides a uniform method for handling time claims and grievances. This Agreement supercedes existing rules/agreements, practices and understandings for time claims.

### IT IS AGREED:

#### Article I – Claims Handling

#### A. Time Limits

All claims must be filed in writing by or on behalf of the employee or employees with the office of the Carrier authorized to receive same within sixty (60) days from the date of the occurrence on which the claim is based. The time limit begins the day after the date of the occurrence. Properly formatted claims will include the time, date, location and a description of the claim. Claims not allowed, must be declined by the Carrier to the individual employee or their representative, whoever presented the claim, in writing within sixty (60) days from the date received, giving the reason for such disallowance.

Time limits as stated in this agreement may be extended for any case by mutual agreement between the parties.

**NOTE:** The term "in writing" includes electronic filing via the Carrier's Timekeeping system. Additionally, the parties are free to mutually agree to innovative claim handling procedures.

#### B. Appeals and Declinations

If a claim is appealed, such appeal must be submitted in writing by the employee, the SMART-TD Local Chairperson, or the SMART-TD Local Chairperson's designee to the designated Carrier Officer within sixty (60) days for the date of declination.

Failing to comply with this provision, the claim will be barred. If such appeal is to be declined, the Carrier Officer will have sixty (60) days from the date of the appeal to decline the claim, giving the reason for such declination to the General Chairman. If the claim is not declined in writing within the sixty (60) day period, the claim shall be allowed.

**NOTE 1:** The "date of declination" is the date of the employee's payroll recap or the date the Carrier's response disallowing the claim is mailed (postmark date) to the individual submitting the claim.

**NOTE 2:** The term "the SMART-TD Local Chairperson's designee" as used throughout this agreement refers to and shall be interpreted to mean a person who holds seniority on the Union Pacific Railroad and who is elected to a position with the SMART-TD.

#### C. Non compliance

Failure to comply with the time limits specified in this Agreement will not set a precedent or waiver of the contentions of either party as to future application of similar or identical claims. If either party to this Agreement fails to comply with a time limit contained in this Agreement, the claim shall be allowed (if the Carrier's failure) or withdrawn (if the Organization's failure). Claims so disposed of shall not be considered as a precedent or a waiver of the contentions of either party as to other similar claims.

### Article II - Conferences

Within sixty (60) days of the date of the rejection of the appeal, the SMART-TD's highest designated officer to handle claims must list the claim(s), in writing for conference with Labor Relations. Thereafter, the parties will promptly arrange for a mutually acceptable conference date.

Within sixty (60) days of the conclusion of the time claim conference, should the Carrier continue to disallow a claim, Labor Relations' highest designated officer must send a final response to the SMART-TD's highest designated officer to handle such claim. The Carrier's use of a conference report may serve as the Carrier's final response. Claims allowed in conference will be paid within sixty (60) days from the date the signed conference report is received and the Carrier will furnish the SMART\_TD with a report summary indicting when the claim(s) will be paid.

#### Article III - Arbitration

Within three hundred sixty-five (365) days of the date of the final rejection letter, after conference, the SMART-TD's highest designated officer to handle such time claims must list the claim before a tribunal having jurisdiction pursuant to the Railway Labor Act.

**NOTE:** The term "list the claim" is intended to mean either party must either docket the claim to a Public Law Board/Special Board of Adjustment in accordance with applicable National Mediation Board rules and procedures or file and ex parte notice of intent with the National Railroad Adjustment Board, First Division.

### Article IV - Rights

This Agreement recognizes the right of the Organization to file and pursue claims and grievances for and on behalf of its members. It is further recognized that General Chairman and/or the Carrier's highest designated officer are free to amend the respective positions taken by their local representatives, with respect of the basis on which a claim is initially premised or declined during its handling on the local level, so as to be consistent with their respective positions, concerning the meaning and application of the involved rules.

Nothing in this Agreement prohibits the parties from identifying and implement innovative claim handling procedures by mutual agreement.

#### Article V – Effective Date

This Agreement is effective <u>November 5 2011</u>. Signed this <u>5<sup>-4</sup></u> day of <u>November</u>, 2014 in Spring, Texas.

For the United Transportation Union

Roy E/ Davis General Chairman – SMART-TD

For the Union Pacific Railroad:

T. Gary Taggaft Director – Labor Relations

K. M. McBratney Asst. Dir. – Labor Relations

# UNION PACIFIC RAILROAD COMPANY

T. Gary Taggart Director – Labor Relations



24125 Aldine Westfield Rd Spring, TX 77373 (281) 350-7585

### BUILDING AMERICA

Side Letter #1 (Conferences)

Roy Davis General Chairman – SMART – TD 400 Randal Way, Suite 102 Spring, Texas 77388

Dear Sir:

This refers to our discussion concerning Article IV, Conferences and the conferencing of existing claims at the local level.

With the effective date of this agreement, local level time claim conference will no longer be held and all claims currently at the local level awaiting conference will be considered conferenced and be forwarded to the General Chairperson's office for further handling. Once the claims have been forwarded to the General Chairperson's office, they will be listed for conference at the General Level.

Sincerely,

T. Gary Taggar

Agreed: Davis